

电-声相互作用

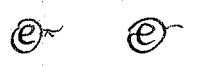
若有两个系统



对单独的 A, B 系统, 已知其性质

→ 研究其相互作用

→ 电子-声子相互作用



声子: 晶格振动 → 改变周围势场 → 改变电子运动

波恩-奥本海默近似: 电子速度远大于原子核, 电子感受原子核的势场无延迟

把系统哈密顿量写出来:

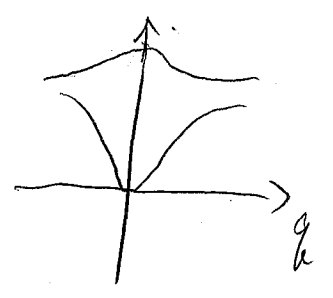
$$\hat{H} = \hat{H}_p + \hat{H}_e + \hat{H}_{ei}$$

$$\hat{H}_p = \sum_{\vec{k}, \lambda} \omega_{\vec{k}, \lambda} a_{\vec{k}, \lambda}^{\dagger} a_{\vec{k}, \lambda} \quad \sim \quad \text{声子哈密顿}$$

↳ 支

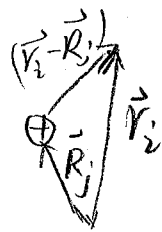
$$\hat{H}_e = \sum_i \left[\frac{p_i^2}{2m} + \frac{e}{2} \sum_{j \neq i} \frac{1}{r_{ij}} \right] \quad \text{电子哈密顿}$$

↳ 电子-电子相互作用



$$H_{el} = \sum_i \sum_j V(\vec{r}_i - \vec{R}_j)$$

把电子加起来 \hookrightarrow 把所有核加起来



$V(\vec{r}_i - \vec{R}_j) \rightarrow$ 电子感受到原子核的势 (只是坐标的函数)

① 若 $\vec{R}_j = \vec{R}_j^{(0)}$ 原子核在平衡位置

$H_{el} + H_{el}$ — 电子能带 $[c_{k,d}, c_{k,d}^\dagger, c_{k,d}]$ 支

② $\vec{R}_j \neq \vec{R}_j^{(0)}$ 偏离平衡位置 电声相互作用
压电效应

$\rightarrow \vec{R}_j = \vec{R}_j^{(0)} + \vec{Q}_j \rightarrow$ 位移场 (displacement field)

把 $V(\vec{r}_i - \vec{R}_j)$ 作展开

$$V(\vec{r}_i - \vec{R}_j^{(0)} - \vec{Q}_j) = V(\vec{r}_i - \vec{R}_j^{(0)}) - \vec{Q}_j \cdot \nabla V(\vec{r}_i - \vec{R}_j^{(0)}) + \frac{1}{2} Q_{j,\mu} Q_{j,\nu} \frac{\partial^2}{\partial R_{\mu} \partial R_{\nu}} V(\vec{r}_i - \vec{R}_j^{(0)}) + O(Q^3)$$

周期势场

主要看第二项

$$H_{ep} = \sum_i \left(\sum_j - \vec{Q}_j \cdot \nabla V(\vec{r}_i - \vec{R}_j^{(0)}) \right)$$

$$= \sum_i H_{ep}^{(i)} = - \sum_i V_{ep}(\vec{r}_i)$$

$$V_{\text{ep}}(\vec{r}) = \sum_j \vec{Q}_j \cdot \nabla V(\vec{r} - \vec{R}_j^{(0)})$$

→ 二次量子化它!

$$\rightarrow \int d\vec{r} \psi^\dagger(\vec{r}) \underbrace{V_{\text{ep}}(\vec{r})} \psi(\vec{r})$$

→ 傅里叶展开 $V_{\text{ep}}(\vec{r})$

$$V(\vec{r} - \vec{R}_j^{(0)}) = \frac{1}{N} \sum_{\vec{q}} V(\vec{q}) e^{i\vec{q} \cdot (\vec{r} - \vec{R}_j^{(0)})}$$

$$\rightarrow \nabla V(\vec{r} - \vec{R}_j^{(0)}) = \frac{i}{N} \sum_{\vec{q}} \vec{q} V(\vec{q}) e^{i\vec{q} \cdot (\vec{r} - \vec{R}_j^{(0)})}$$

$$V_{\text{ep}}(\vec{r}) = \sum_j \vec{Q}_j \cdot \frac{i}{N} \sum_{\vec{q}} \vec{q} V(\vec{q}) e^{i\vec{q} \cdot (\vec{r} - \vec{R}_j^{(0)})}$$

$$= \frac{i}{N} \sum_{\vec{q}} V(\vec{q}) e^{i\vec{q} \cdot \vec{r}} \sum_j \vec{Q}_j e^{-i\vec{q} \cdot \vec{R}_j^{(0)}}$$

\vec{Q}_j 声子量子化

$$= i \sum_{\vec{k}, \lambda} \sqrt{\frac{\hbar}{2MN\omega_{\vec{k}, \lambda}}} \sum_{\vec{q}} \vec{q} (a_{\vec{k}, \lambda} + a_{-\vec{k}, \lambda}^\dagger) e^{i\vec{k} \cdot \vec{R}_j^{(0)}}$$

代入上式

$$\sum_j \vec{Q}_j e^{i\vec{q} \cdot \vec{R}_j^{(0)}} = \sum_j \sum_{\vec{k}, \lambda} i \sqrt{\frac{\hbar}{2MN\omega_{\vec{k}, \lambda}}} \sum_{\vec{q}} \vec{q} (a_{\vec{k}, \lambda} + a_{-\vec{k}, \lambda}^\dagger) e^{i(\vec{k} - \vec{q}) \cdot \vec{R}_j^{(0)}}$$

$$= i \sum_{\vec{q}, \lambda} N \sqrt{\frac{\hbar}{2MN\omega_{\vec{q}, \lambda}}} \sum_{\vec{k}} \vec{q} (a_{\vec{k} + \vec{q}, \lambda} + a_{-\vec{k} + \vec{q}, \lambda}^\dagger)$$

$$\Rightarrow V_{\text{ep}}(\vec{r}) = - \sum_{\vec{q}, \vec{G}} \sum_{\lambda} V(\vec{q}) e^{i\vec{q} \cdot \vec{r}} \sum_{\vec{k}} \sqrt{\frac{\hbar}{2MN\omega_{\vec{k}, \lambda}}} \sum_{\vec{q} + \vec{G}} \vec{q} (a_{\vec{k} + \vec{q}, \lambda} + a_{-\vec{k} + \vec{q}, \lambda}^\dagger)$$

$$= \sum_{\vec{k}, \lambda} \sum_{\vec{G}} \frac{1}{\lambda} V(\vec{k} + \vec{G}) e^{i(\vec{k} + \vec{G}) \cdot \vec{r}} (\vec{k} + \vec{G}) \epsilon_{\vec{k}, \lambda} \sqrt{\frac{\hbar}{2mN\omega_{\vec{k}, \lambda}}} (\hat{a}_{\vec{k}, \lambda} + \hat{a}_{-\vec{k}, \lambda}^\dagger)$$

代入二次量子化的哈密顿中

$$H_{ep} = \int d\vec{r}_i \psi^\dagger(\vec{r}_i) V_{ep}(\vec{r}_i) \psi(\vec{r}_i)$$

$$= \int d\vec{r}_i P(\vec{r}_i) V_{ep}(\vec{r}_i) \quad P(\vec{r}_i) = C$$

其中 $\int d\vec{r}_i P(\vec{r}_i) e^{i(\vec{k} + \vec{G}) \cdot \vec{r}_i} = P(\vec{k} + \vec{G})$

$$\Rightarrow \sum_{\vec{k}, \lambda} \sum_{\vec{G}} P(\vec{k} + \vec{G}) V(\vec{k} + \vec{G}) (\vec{k} + \vec{G}) \epsilon_{\vec{k}, \lambda} \sqrt{\frac{\hbar}{2mN\omega_{\vec{k}, \lambda}}} (\hat{a}_{\vec{k}, \lambda} + \hat{a}_{-\vec{k}, \lambda}^\dagger)$$

其中 $P(\vec{k}) = \sum_{\vec{k}'} C_{\vec{k} + \vec{k}'}$

$$\sum_{\vec{k}} C_{\vec{k} + \vec{k}'}^\dagger C_{\vec{k} + \vec{k}'}$$

若 $M_{\lambda}(\vec{k} + \vec{G}) = -V(\vec{k} + \vec{G}) (\vec{k} + \vec{G}) \epsilon_{\vec{k}, \lambda} \sqrt{\frac{\hbar}{2mN\omega_{\vec{k}, \lambda}}}$

$$H_{ep} = \sum_{\vec{k}, \lambda} \sum_{\vec{G}} M_{\lambda}(\vec{k} + \vec{G}) P(\vec{k} + \vec{G}) (\hat{a}_{\vec{k}} + \hat{a}_{-\vec{k}}^\dagger)$$

作业. 证明. $\sum_j e^{i(\vec{k} - \vec{q}) \cdot \vec{R}_j^{(0)}} = N \delta_{\vec{k} - \vec{q}, \vec{G}}$

解 \vec{G} 为倒格矢, 满足 $\vec{G} \cdot \vec{R}_j^{(0)} = 2\pi N \Rightarrow 1 = e^{i\vec{G} \cdot \vec{R}_j^{(0)}}$

$$\sum_j e^{i(\vec{k} - \vec{q}) \cdot \vec{R}_j^{(0)}} = \sum_j e^{i(\vec{k} - \vec{q} - \vec{G}) \cdot \vec{R}_j^{(0)}}$$

$$= N \delta_{\vec{k} - \vec{q}, \vec{G}} \begin{cases} \vec{k} - \vec{q} = \vec{G} \rightarrow N \text{ 个粒子} \\ \vec{k} - \vec{q} \neq \vec{G} \rightarrow \text{和为零} \end{cases}$$